

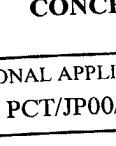
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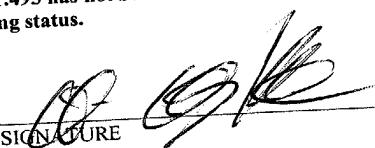
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PCT Applicant's Guide - Volume II - National Chapter - US

Annex US.II, page 1

 <p>PCT Applicant's Guide - Volume II - National Chapter - US</p> <p>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</p> <p>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</p>		<p>ATTORNEY'S DOCKET NUMBER 172A 3075 PCT</p> <p>U.S. APPLICATION NUMBER (If known, see 37 C.F.R. 1.5)</p> <p>09/762846</p>
INTERNATIONAL APPLICATION NO. PCT/JP00/03807	INTERNATIONAL FILING DATE June 12, 2000	PRIORITY DATE CLAIMED June 18, 1999
TITLE OF INVENTION PIEZOSCILLATOR		
APPLICANT(S) FOR DO/EO/US TOSHIKAZU UCHIYAMA		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>11. <input type="checkbox"/> Applicant claims small entity status.</p> <p>Items 12. to 17. below concern document(s) or information included:</p> <p>12. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.</p> <p>13. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.</p> <p>14. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</p> <p><input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input checked="" type="checkbox"/> Other items or information:</p> <p>a. Copy of Form PCT/IB/308 (Notice re Application to the Designated offices)</p> <p>b. Five (5) sheets of drawings</p> <p>c. Copy of Form PCT/ISA/210 (International Search Report)</p> <p>d. Change of Correspondence Address</p>		

Transmittal Letter to the United States Designated Office (DO/US)—Entry Into National Stage under 35 U.S.C. 371—PTO 1390 (13-7)

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 097762846	INTERNATIONAL APPLICATION NUMBER PCT/JP00/03807	ATTORNEY'S DOCKET NUMBER 172A 3075 PCT			
CALCULATIONS PTO USE ONLY					
18. <input checked="" type="checkbox"/> The following fees are submitted:					
BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5)):					
Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1000					
International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860					
International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO \$710					
International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690					
International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$900					
ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 860					
Surcharge of \$130 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 C.F.R. 1.492(e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30 \$ 0					
CLAIMS	NUMBER FILED	NUMBER ALLOWED	NUMBER EXTRA	RATE	
Total claims	11	20	0	\$ 18	\$ 0
Independent claims	5	3	2	\$ 80	\$ 160
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+	\$270	\$ 270
TOTAL OF ABOVE CALCULATIONS =				\$ 1,290	
Reduction of 1/2 for filing by small entity, if applicable.				\$ 0	
SUBTOTAL =				\$ 1,290	
Processing fee of \$130 for furnishing the English translation later than months from the earliest claimed priority date (37 C.F.R. 1.492(f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30 \$ 0					
TOTAL NATIONAL FEE =				\$ 1,290	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40 per property + \$ 40					
TOTAL FEES ENCLOSED =				\$ 1,330	
\$			Amt. Refunded	\$	
\$			Amt. charged	\$	
<p>a. <input checked="" type="checkbox"/> Checks in the amounts of \$40 and \$1,290 to cover the above fees are enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 11-1445 in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1445. A duplicate copy of this sheet is enclosed.</p>					
<p>NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>					
SEND ALL CORRESPONDENCE TO:					
<p>KODA & ANDROLIA 2029 Century Park East, Suite 3850 Los Angeles, CA 90067-3024 Dated: February 9, 2001</p>					
<p> SIGNATURE H. Henry Koda REG.NO. 27,729</p>					
Form PTO-1390 (REV 1-98)					
Transmittal Letter to the United States Designated Office (DO/US) — Entry Into National Stage under 35 U.S.C. 371 — PTO 1390 [13-7]					

09/762846

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I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail No. EL719793093US addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231

on February 9, 2001 by Inja Yi.

Dated: 2/9/01

By: Inja Yi

172A 3075 PCT

SUZUKI

Applicant(s):

TOSHIKAZU UCHIYAMA

For:

PIEZOSCILLATOR

patent application serial no.

Department of Commerce
Patent and Trademark Office
fee record

02/20/2001 SNAJARRO 00000016 09762846

01 FC:970	860.00 0P
02 FC:964	160.00 0P
03 FC:968	270.00 0P